

02/22/02
JC857 U.S. PTO

2-26-02

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As modified by Blakely, Sokoloff, Taylor & Zafman LLP

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5914P001X
(maximum 12 characters)

jc979 U.S. PTO
10/082533
02/22/02

First Named Inventor Steve Yankovich

Title: Method And Apparatus For Programmatic Learned Routing In An Electronic Form System

Express Mail Label No. EL 617 207 221 US

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 38, including cover page and abstract)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 20 sheets of 21 figures)**
5. **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. Preliminary Amendment
14. xx Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. xx Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17A. xx Other: Two checks in the amounts of \$370.00 and \$42.00

17B. X Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18A. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet Under 37 C.F.R. 1.76:

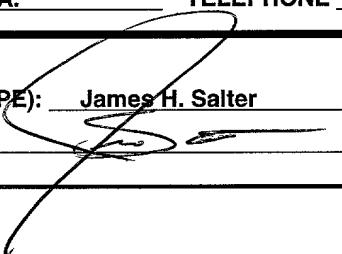
 Continuation Divisional xx Continuation-in-part (CIP)
Of Prior Application No.: 09/281,147 Examiner Group Art Unit 2776
(which is a continuation/ divisional/ CIP of prior application no. ,
which is a continuation/ divisional/ CIP of prior application no.) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For **CONTINUATION AND DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. Frame No. (or a copy of which is attached).

19. Correspondence Address
Customer Number or Bar Code Label (Insert Customer No. or Attach Bar Code Label here)
X Correspondence Address Below
NAME James H. Salter
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
ADDRESS 12400 Wilshire Boulevard
Seventh Floor
CITY Los Angeles STATE California ZIP CODE 90025-1026
Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): James H. Salter Registration No.: 35,668
Signature:  Date: 2/22/02

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)First Named Inventor Steve YankovichTitle Method And Apparatus For Programmatic Learned Routing In An Electronic Form SystemAttorney Docket No. 5914P001X

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/22/02

Date



Signature

James H. Salter

Typed or Printed Name

35,668

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) 412.00

Complete if Known:

Application No. Unassigned
 Filing Date February 22, 2002
 First Named Inventor Steve Yankovich
 Group Art Unit Unassigned
 Examiner Name Unassigned
 Attorney Docket No. 5914P001X

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number _____
 Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, charge any deficiencies, and credit any over payments to Deposit Account Number 02-2666

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. Payment Enclosed 3. Applicant claims small entity.
 Two checks in the amounts of \$370.00 and \$42.00 See CFR 1.27
 Money Order
 Other

FEE CALCULATION (fees effective 11/29/00)

1. FILING FEELarge Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	740	201	370	Utility application filing fee	<u>370.00</u>
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____

SUBTOTAL (1) \$ 370.002. CLAIMS

Total Claims	8	- 20 =	0	X	0	=	0
Independent Claims	4	- 3 =	1	X	42.00	=	42.00
Multiple Dependent Claims			0	X	0	=	0

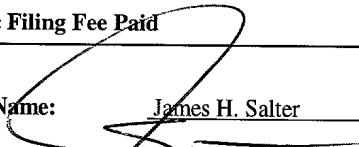
Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
103	18	203	9	Claims in excess of twenty	<u>42.00</u>
102	84	202	42	Independent claims in excess of 3	_____
104	280	204	140	Multiple dependent claim	_____
109	84	209	42	Reissue independent claims over original patent	_____
110	18	210	9	Reissue claims in excess of 20 and over original patent	_____

SUBTOTAL (2) \$ 42.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee		
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
		Surcharge - late filing fee or oath	
		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
		Non-English specification	
		For filing a request for reexamination	
		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*
		Requesting publication of SIR after Examiner action	
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
		Extension for response within first month	
		Extension for response within second month	
		Extension for response within third month	
		Extension for response within fourth month	
		Extension for response within fifth month	
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
		Notice of Appeal	
		Filing a brief in support of an appeal	
		Request for oral hearing	
		Petition to institute a public use proceeding	
		Petition to revive unavoidably abandoned application	
141	1,280	241	640
		Petition to revive unintentionally abandoned application	
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
		Petitions to the Commissioner	
		Petitions related to provisional applications	
		Submission of Information Disclosure Stmt	
		Recording each patent assignment per property (times number of properties)	
146	740	246	370
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
		For filing a submission after final rejection	
		For each additional invention to be examined	
		Request for Continued Examination (RCE)	
		Request for expedited examination of a design application	
		Publication fee for early, voluntary, or normal publication	
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280
		Publication fee for republication	
		Request for voluntary publication or republication	
		Processing fee under 37 CFR 1.17(i) (except provisionals)	
		Acceptance of unintentionally delayed claim for priority	
Other: _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) \$ <u>0</u>
<u>SUBMITTED BY:</u>			
Typed or Printed Name:	James H. Salter		
Signature			
Date	<u>2/22/02</u>		
Reg. Number	35,668	Customer Number	<u>008791</u>
	(complete if applicable)		

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 617 207 221 US

Date of Deposit: February 22, 2002

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Claire Wallters

Name of Person Mailing Paper or Fee



2/22/2002

Date Signed

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)First Named Inventor Steve YankovichTitle Method And Apparatus For Programmatic Learned Routing In An Electronic Form SystemAttorney Docket No. 5914P001X

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Send to: Assistant Commissioner for Patents, Washington, D.C. 20231